



PATENT

Case Docket No. NAS.002A

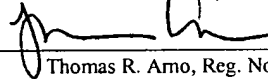
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Neville Alleyne  
Appl. No. : 10/628,079  
Filed : July 24, 2003  
For : COMPRESSIBLE FIXATION  
APPARATUS FOR SPINAL  
SURGERY  
Examiner : Unassigned  
Group Art Unit : 3732

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

2/9/04

(Date)



Thomas R. Arno, Reg. No. 40,490

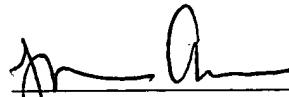
TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with eleven (11) references.
- (X) A copy of International Search Report.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.



Thomas R. Arno  
Registration No. 40,490  
Attorney of Record  
Customer No. 20,995  
(619) 235-8550



Docket No.: NAS.002A

Customer No. 20,995

### INFORMATION DISCLOSURE STATEMENT

Applicant : Neville Alleyne  
App. No. : 10/628,079  
Filed : July 24, 2003  
For : COMPRESSIBLE FIXATION APPRATUS  
FOR SPINAL SURGERY  
Examiner : Unassigned  
Group Art Unit : 3732

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing eleven references that are also enclosed. Also enclosed is a copy of the International Search Report.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 2/9/04

By: 

Thomas R. Arno  
Registration No. 40,490  
Attorney of Record  
Customer No. 20,995  
(619) 235-8550

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
NAS.002AAPPLICATION NO.  
10/628,079INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Neville AlleyneFILING DATE  
July 24, 2003GROUP  
3732

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	2,333,033	10/26/43	Mraz			
	2	5,180,380	01/19/93	Pursley et al.			
	3	5,672,177	09/30/97	Seldin			
	4	5,700,263	12/23/97	Schendel			
	5	5,902,304	05/11/99	Walker et al.			
	6	6,126,660	10/03/00	Dietz			
	7	6,328,738 B1	12/11/01	Suddaby			
	8	6,402,756 B1	06/11/02	Ralph et al.			
	9	6,428,542 B1	08/06/02	Michelson			
	10	6,533,786 B1	03/18/03	Needham et al.			
	11	6,576,016 B1	06/10/03	Hochshuler et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLAS S	SUBCLAS S	TRANSLATION	
							YES	NO

EXAMINER  
INITIAL

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)


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010604

EXAMINER

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.